



## **RAW SEQUENCE LISTING ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/955,555

Source: OIPF

Date Processed by STIC: 10/4/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE **CHECKER  
VERSION 3.0 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND  
TRADEMARK OFFICE WEBSITE. SEE BELOW:

### **Checker Version 3.0**

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO).

Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:

**<http://www.uspto.gov/web/offices/pac/checker>**

OIKE

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/955,555

DATE: 10/04/2001

TIME: 17:33:44

Input Set : A:\278-3SEQ.txt

Output Set: N:\CRF3\10042001\I955555.raw

Does Not Comply  
Corrected Diskette Needed

## SEQUENCE LISTING

4 (1) GENERAL INFORMATION:

E--> 6 (i) APPLICANT:

55 (ii) TITLE OF INVENTION: Enzymatic Array and Process of Making Same

57 (iii) NUMBER OF SEQUENCES: 29

E--> 0 (iv) CORRESPONDENCE ADDRESS:

48 (B) STREET: 625 Dartmouth St.

49 (C) CITY: San Francisco

50 (D) STATE: CA

51 (E) COUNTRY: USA

C--> 52 (F) ZIP: 94134

C--> 59 (v) COMPUTER READABLE FORM:

60 (A) MEDIUM TYPE: Floppy disk

61 (B) COMPUTER: IBM PC compatible

62 (C) OPERATING SYSTEM: PC-DOS/MS-DOS

63 (D) SOFTWARE: PatentIn Release #1.0, Version #1.25 (EPO)

C--> 65 (vi) CURRENT APPLICATION DATA:

C--> 66 (A) APPLICATION NUMBER: US/09/955,555

C--> 0 (B) FILING DATE: 17-Sep-2001

C--> 67 (vii) PRIOR APPLICATION DATA:

68 (A) APPLICATION NUMBER: US 60/005701

69 (B) FILING DATE: 17-OCT-1995

C--> 0 (viii) ATTORNEY/AGENT INFORMATION:

47 (A) NAME: Hai-Ying Xia

C--> 0 (ix) TELECOMMUNICATION INFORMATION:

C--> 53 (A) TELEPHONE: (415) 846-7200

ERRORED SEQUENCES

See  
next pages

09/955,555

2

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

## (i) APPLICANT:

*↑ move up - all responses must be on same line as heading*

~~(A) NAME: Richard R. Bott~~  
~~(B) STREET: 3032 Hillside Drive~~  
~~(C) CITY: Burlingame~~  
~~(D) STATE: CA~~  
~~(E) COUNTRY: USA~~  
~~(F) POSTAL CODE (ZIP): 94010~~  
~~(G) TELEPHONE: (415) 846-7200~~

delete

*↑*

~~(A) NAME: Kathleen A. Clarkson~~  
~~(B) STREET: 53 28th St~~  
~~(C) CITY: San Francisco~~  
~~(D) STATE: CA~~  
~~(E) COUNTRY: USA~~  
~~(F) POSTAL CODE (ZIP): 94110~~  
~~(G) TELEPHONE: (415) 846-7200~~

delete

*↑*

~~(A) NAME: Timothy Fowler~~  
~~(B) STREET: 1000 Continental Way, #304~~  
~~(C) CITY: Belmont~~  
~~(D) STATE: CA~~  
~~(E) COUNTRY: USA~~  
~~(F) POSTAL CODE (ZIP): 94002~~  
~~(G) TELEPHONE: (415) 846-7200~~

delete

*↑*

~~(A) NAME: Chung-Cheng Liu~~  
~~(B) STREET: 4866 Alberson Ct~~  
~~(C) CITY: San Diego~~  
~~(D) STATE: CA~~  
~~(E) COUNTRY: USA~~  
~~(F) POSTAL CODE (ZIP): 92130~~  
~~(G) TELEPHONE: (415) 846-7200~~

delete

*↑*

~~(A) NAME: Micheal Ward~~  
~~(B) STREET: 4372 24th St.~~  
~~(C) CITY: San Francisco~~  
~~(D) STATE: CA~~  
~~(E) COUNTRY: USA~~  
~~(F) POSTAL CODE (ZIP): 94114~~  
~~(G) TELEPHONE: (415) 846-7200~~

delete

*↑*

~~(A) NAME: Hai-Ying Xia~~  
~~(B) STREET: 625 Dartmouth St.~~  
~~(C) CITY: San Francisco~~  
~~(D) STATE: CA~~  
~~(E) COUNTRY: USA~~  
~~(F) POSTAL CODE (ZIP): 94134~~  
~~(G) TELEPHONE: (415) 846-7200~~

delete

(ii) TITLE OF INVENTION: Enzymatic Array and Process of Making Same

(iii) NUMBER OF SEQUENCES: 29

6-1) CORRESPONDENCE ADDRESS:  
(A) ADDRESSEE:  
(B) STREET:  
(C) CITY:  
(D) STATE:  
(E) COUNTRY:  
(F) ZIP:

← add these 09/955,555 3  
mandatory headings  
and response for a U.S. case

(U) ~~(1-4)~~ COMPUTER READABLE FORM:

- (A) MEDIUM TYPE: Floppy disk
- (B) COMPUTER: IBM PC compatible
- (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn Release #1.0, Version #1.25 (EPO)

(U) ~~(1-4)~~ CURRENT APPLICATION DATA: *This belongs under PRIOR APP. DATA!*

insert → (A) APPLICATION NUMBER: US 08/559,968

~~(1-4)~~ PRIOR APPLICATION DATA:

- (Vii) (A) APPLICATION NUMBER: US 60/005701
- (B) FILING DATE: 17-OCT-1995

(B) FILING DATE: insert this  
mandatory  
heading

insert → (B) FILING DATE:

(2) INFORMATION FOR SEQ ID NO: 1:

EPO format is invalid for  
a U.S. case

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/955,555

DATE: 10/04/2001

TIME: 17:33:45

Input Set : A:\278-3SEQ.txt

Output Set: N:\CRF3\10042001\I955555.raw

L:12 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
 L:13 M:220 C: Keyword misspelled or invalid format, [(A) TELEPHONE:]  
 L:20 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
 L:21 M:220 C: Keyword misspelled or invalid format, [(A) TELEPHONE:]  
 L:28 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
 L:29 M:220 C: Keyword misspelled or invalid format, [(A) TELEPHONE:]  
 L:36 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
 L:37 M:220 C: Keyword misspelled or invalid format, [(A) TELEPHONE:]  
 L:44 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
 L:45 M:220 C: Keyword misspelled or invalid format, [(A) TELEPHONE:]  
 L:52 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
 L:53 M:220 C: Keyword misspelled or invalid format, [(A) TELEPHONE:]  
 L:59 M:220 C: Keyword misspelled or invalid format, [(v) COMPUTER READABLE FORM:]  
 L:65 M:220 C: Keyword misspelled or invalid format, [(vi) CURRENT APPLICATION DATA:]  
 L:65 M:220 C: Keyword misspelled or invalid format, [(vi) CURRENT APPLICATION DATA:]  
 L:66 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:]  
 L:66 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:]  
 L:67 M:220 C: Keyword misspelled or invalid format, [(vii) PRIOR APPLICATION DATA:]  
 L:6 M:200 E: Mandatory Header Field missing, [(i) APPLICANT:] of (1) Value not provided  
 L:0 M:200 E: Mandatory Header Field missing, [(A) ADDRESSEE:] of (1)(iv)  
 L:0 M:248 E: Inserted missing Mandatory Header Field, [(iv) CORRESPONDENCE ADDRESS:]  
 L:0 M:247 C: Inserted Optional Header Field, [(viii) ATTORNEY/AGENT INFORMATION:]  
 L:0 M:247 C: Inserted Optional Header Field, [(ix) TELECOMMUNICATION INFORMATION:]  
 L:0 M:249 C: Inserted Mandatory Field, [(B) FILING DATE:]